



OFFICE ADDRESS:

FORM ADV PART 2B BROCHURE SUPPLEMENT

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This brochure supplement provides information about

that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Please contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about

is available on the SEC's website at www.adviserinfo.sec.gov.



Full Legal Name: Marcus Kurt Heinrich

Born: 1958

Education Background:

- University of Illinois - Urbana-Champaign, BS, Engineering, 1980

Business Experience:

- Forum Financial Management, LP, Member, 07/2002 to Present.
- 7 Guys Administration Services, Vice-President, 07/2002 to Present.
- Marcus K. Heinrich, Owner, 05/2005 to 12/2008.
- Purshe Kaplan Sterling Investments, Registered Representative, 02/2011 to 10/2011.
- Genworth Financial Securities Corporation, Registered Representative, 10/1984 to 02/2011.
- Genworth Financial Advisers Corporation, Investment Adviser Representative, 01/1986 to 12/2009.

Designations/Certifications:

Marcus K. Heinrich has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Certified Financial Planner (CFP), (granted by the CFP Board of Standards), 1988
- NASAA Series 63, Uniform Securities Agent State Law Examination, 1988
- NASAA Series 65, Uniform Investment Advisor Law Examination, 1999
- Insurance (Life, Health, Disability, LTC).

Please refer to Part 2B Appendix 1 for definitions of the above designations.

Disciplinary Information:

Marcus K. Heinrich has no reportable disciplinary history.

Other Business Activities:

Marcus K. Heinrich is an independent insurance agent. Mr. Heinrich's insurance clients may also be clients of Forum Financial Management, LP. The fees earned by Mr. Heinrich in this outside business activity are separate and apart from the fees you pay our firm for advisory services. Mr. Heinrich's outside business activity presents a conflict of interest because Mr. Heinrich may have an incentive to recommend insurance products to you for the purpose of generating fees and/or commissions. Mr. Heinrich allocates approximately 5% of his professional time to this outside business activity.

Mr. Heinrich is also Vice-President of 7 Guys Administration Services. Mr. Heinrich devotes approximately 2% of his professional time in this outside business activity.

Please refer to Part 2A of Form ADV: Firm Brochure, Item 10, Other Financial Industry Activities and Affiliations, for complete disclosure of the above referenced Investment-Related Activities.

Additional Compensation:

Please refer to the *Other Business Activities* section above for disclosures on Mr. Heinrich's receipt of additional compensation as a result of his activity as a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Forum Financial Management, LP's firm brochure for additional disclosures on this topic.

Supervision:

Supervisor: Brian T. Savage CPA, PFS, CFP®

Title: Chief Compliance Officer

Phone Number: 630.873.8515

PART 2B APPENDIX 1

AAMS ®

Individuals who hold the AAMS® designation have completed a course of study encompassing investments, insurance, tax, retirement, and estate planning issues. The program is designed for approximately 120- 150 hours of self-study. The program is self-paced and must be completed within one year from enrollment.

AWMA ®

Individuals who hold the AWMA ® designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. The program is designed for approximately 120-150 hours of self-study. The program is self-paced and must be completed within one year from enrollment.

CFP ®

The CERTIFIED FINANCIAL PLANNER™, CFP ® and federally registered CFP (with flame design) marks (collectively, the "CFP ® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP ® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP ® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

Currently, more than 62,000 individuals have obtained CFP ® certification in the United States.

To attain the right to use the CFP ® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP ® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP ® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP ® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP ® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP ® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP ® certification.

CPA

Certified Public Accountant (CPA) are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally

include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

EA

An Enrolled Agent (EA) is a person who has earned the privilege of practicing, that is, representing taxpayers, before the Internal Revenue Service. Enrolled agents, like attorneys and certified public accountants (CPAs), are unrestricted as to which taxpayers they can represent, what types of tax matters they can handle, and which IRS offices they can practice before.

There are two tracks to becoming an enrolled agent. The two tracks are:

- Written examination. You can become an enrolled agent by demonstrating special competence in tax matters by taking a written examination. This track requires that you
 - Apply to take the Special Enrollment Examination (SEE);
 - Achieve passing scores on all parts of the SEE;
 - Apply for enrollment; and
 - Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.
- IRS experience. You can become an enrolled agent by virtue of past service and technical experience with the IRS that qualifies you for enrollment. This track requires that you
 - Possess the years of past service and technical experience;
 - Apply for enrollment; and
 - Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.

More information regarding enrolled agents is available at www.irs.gov.

PFS

Personal Financial Specialist (PFS) The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, fulfill 3,000 hours of personal financial planning business experience, complete 80 hours of personal financial planning CPE credits, pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's Code of Professional Conduct, and is encouraged to follow AICPA's Statement on Responsibilities in Financial Planning Practice. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

NASAA Series 63

Uniform Securities Agent State Law Examination - 60 multiple choice questions; 1 hour and 15 minutes testing time. The Series 63 is designed to qualify candidates as securities agents. The examination covers the principles of state securities regulation reflected in the Uniform Securities Act.

NASAA Series 65

Uniform Investment Adviser Law Examination - 130 multiple choice questions; 3 hours testing time. The Series 65 is designed to qualify candidates as investment adviser representatives.